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Speech Processors for Auditory Prostheses

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I. Introduction

One of the principal objectives of this project is to design, develop, and evaluate speech processors for implantable auditory prostheses. Ideally, the processors will represent the information content of speech in a way that can be perceived by implant patients. Another principal objective is to develop new test materials for the evaluation of speech processors, given the growing number of cochlear implant subjects enjoying levels of performance too high to be sensitively measured by existing tests.

Work in the present quarter included:

- 1. Speech reception and evoked potential studies with Nucleus percutaneous subject NP4 (February 7-11, February 14-16, and March 25-27. These sessions constituted the second of three two-week visits by this subject.)
- 2. Speech reception and evoked potential studies with Nucleus percutaneous subject NP3 (March 4-8 and 11-15: the final two-week visit scheduled for this subject.)
- 3. Speech reception and evoked potential studies with Ineraid subject SR15 (March 18-22). This was the first visit to our laboratory by this subject, who was selected for her quite low levels of speech reception with her clinical processor.
- 4. Speech reception and evoked potential studies with Ineraid subject SR9 (April 15-19 and 22-26). This subject was selected for further studies on the basis of quite low levels of speech reception with her clinical processor.

- 5. Presentations of project results in an invited lecture at the *Sixth Symposium on Cochlear Implants in Children*, Miami Beach, FL, February 2-3 (Wilson); in a poster at the *Nineteenth Midwinter Research Meeting of the Association for Research in Otolaryngology*, in St. Petersburg Beach, FL, February 4-8 (Finley); and in an invited lecture at the annual meeting of the *American Association for Audiology*, in Salt Lake City, April 20-21 (Lawson).
- 6. Evaluation of plans for the recording, validation, and calibration of new speech test materials with project consultant William Rabinowitz, at RTI, February 15-16.
- 7. Design, procurement, and installation of new audio mixing equipment for the speech processors laboratory at RTI, in support both of speech reception studies and the recording of new speech test materials.
- 8. Bench evaluation at RTI of the portable research processors developed in collaboration with investigators in Geneva and Boston.
- 9. Continued development of the evoked potential laboratory at RTI.
- 10. Continued work on the recording of new speech materials for testing speech processor performance.
- 11. Continued analysis of speech reception and evoked potential data from prior studies.
- 12. Continued preparation of manuscripts for publication.
- 13. Additional visits by the following colleagues:
 - Ron West, Jim Patrick and Jim Heller of Cochlear Corporation and Cochlear Pty. Ltd., to review joint RTI / DUMC / Cochlear Corporation studies. (February 7-8)
 - Martin Zimmerling of the University of Innsbruck, to learn about our techniques for recording intracochlear evoked potentials. (February 23)
 - Russ Snyder of the University of California at San Francisco, to participate in an NIH site visit and present a lecture on neural responses in the inferior colliculus to intracochlear electrical stimulation. (February 26-28)
 - Michael Dorman and Philip Loizou of Arizona State University, to observe testing with Ineraid subject SR15 and learn more about programming and use of portable speech processors for research studies. (March 19-21)
 - Deborah Ballantyne of the Universita Degli Studi di Roma "La Sapienza," to observe speech processor testing and discuss the possibility of a joint study comparing the performance of otherwise similar bipolar and monopolar Clarion processors in a population of Italian patients. (April 15-19)

In this report we present results from the first five subjects in our study of seven patients implanted with a percutaneous version of the Nucleus 22 electrode array. Results from additional studies with those subjects and the studies with Ineraid subjects SR15 and SR9 outlined above will be included in subsequent reports.

II. 22 Electrode Percutaneous Study: results for the first five subjects

With NIH support, our group is participating with Duke University Medical Center and Cochlear Corporation in a study of patients implanted with a percutaneous research version of the standard Nucleus 22 electrode array. Five patients (NP1 - NP5) have been selected thus far and implanted by DUMC surgeon Debara Tucci. It has been agreed that a total of seven patients will be included in the study. Each subject agrees to participate in three two-week research visits to our laboratory.

During the percutaneous phase of the studies each patient's everyday processor is a monopolar variation of the standard clinical SPEAK strategy. That processor is fitted and maintained by DUMC audiologist Patricia Roush and evaluated by our group along with various CIS and other research designs. The subjects also participate in our intracochlear evoked potential studies.

A core protocol of processor comparisons is carried out with each of these subjects. As outlined in QPR1 for this project, the contents of the protocol evolved significantly in the course of the early patient visits, as initial results were obtained. In this report we will review the final design of the protocol and present its results for each of the first five subjects.

The sixteen core protocol processors to be compared are outlined in Table I. All of the processors use 33 μ s/phase pulses, full wave rectification, twelfth order bandpass filters, fourth order smoothing filters, and our normal preemphasis filter and logarithmic mapping functions. All stimulate chosen intracochlear electrodes with respect to a reference electrode in *m. temporalis*. In addition, there are reference settings that are departed from only in processors

designed to assess the variation of a single parameter. These reference settings include: positive phase leading in balanced biphasic pulses, a pulse rate on each channel of 833 pps, a staggered order of stimulation within each cycle (e.g. 6,3,5,2,4,1 for a six channel processor), a 200 Hz cutoff frequency for smoothing filters, and a 350 Hz to 5500 Hz overall bandpass range allocated to the channels in contiguous bands of logarithmically equal widths.

Five of the sixteen protocol processors use the reference settings to implement different numbers of CIS channels. These are labeled in Table I, according to the number of channels, as **21**, **11**, **8**, **6ref**, and **4**. [Note that the reference pulse rate for the 21 channel CIS processors is necessarily 721 pps rather than 833, given 33 µs/phase pulse widths.] Seven processors represent single parameter variations with respect to **6ref**. These include **6els** (differing only in that it utilizes a different set of six electrodes), **6ord** (using an apex-to-base rather than staggered order of stimulation), **6sth** (using a 400 Hz rather than 200 Hz smoothing filter cutoff frequency), **6pol** (using balanced biphasic pulses that begin with the negative rather than the positive phase to the intracochlear electrode), **6rng** (with frequency bands covering the range of 350 to 9500 Hz rather than 350 to 5500 Hz), **6slo** (with a pulse rate on each channel of 250 pps rather than 833), and **6fst** (with a pulse rate of 2525 pps rather than 833). The remaining four processors are what we call "n-of-m" designs, in which a total of m frequency bands are analyzed and only the n electrodes corresponding to the n highest energy bands are stimulated on a given processing cycle. In this protocol, n will be 6 in every case, while m may vary somewhat depending on each subject's number of available electrodes. For the first five subjects, m has been held constant at 18. For each subject the four processors will be identical except that **nmfst** and **nmrngfst** will have a pulse rate of 833 pps on each stimulated electrode while **nmslo** and **nmrngslo** will have a rate of only 250 pps. As with the 6 channel CIS processors, presence of "rng" in a label indicates the use of the 350 to 9500 Hz extended frequency range.

This set of protocol processors has been chosen to support a wide array of comparisons of interest. The effects of varying the number of CIS channels are explored through comparisons among 21, 11, 8, 6ref, and 4. The sensitivity of performance to choices among available electrodes may be probed by comparing 6ref and 6els. The effects of various single parameter variations are studied in comparisons of performance between 6ref and, in turn, 6ord, 6sth, 6pol, 6rng, 6fst, and 6slo. The nmrngslo processor is designed to be equivalent in some respects to the clinical SPEAK processor, which also analyzes an extended frequency range, selects a subset of the analyzed bands for stimulation on each processing cycle, and stimulates the corresponding electrodes at a variable rate that averages approximately 250 pps. Comparisons are available with a basic six channel CIS processor at the same rate (6slo), an n-of-m processor at the same rate but without an extended frequency range (nmslo), and n-of-m processors running at a rate substantially higher than possible for the present SPEAK strategy (nmfst, nmrngfst). The latter processors also may be compared with a CIS processor running at the same rate (6ref). Depending on performance test results with individual subjects, various features of the protocol designs can be combined in additional processors for evaluation. The performance of the monopolar clinical SPEAK processor is tested during each of the three visits to our laboratory, to provide data on learning effects. A bipolar SPEAK processor is fitted during the last of the three research visits and its performance tested after extended use outside the laboratory.

After completion of the three two-week research visits to our laboratory, it is anticipated that each subject will undergo a second surgery to receive a standard clinical transcutaneous device. Only subject NP1 has undergone that second surgery to date; she is doing well with her clinical bipolar SPEAK processor.

Table I.

22 Electrode Percutaneous Study Protocol Processors

Туре	Label	Chans	Pulse Polarity	Stimul. Rate	Stimul. Order	Smoother Cutoff	Freq. Range	Selected Electds.
n chan	21	21	+/-	721	stag	200 Hz	5500 Hz	
	11	11	+/-	833	stag	200	5500	
	8	8	+/-	833	stag	200	5500	
	4	4	+/-	833	stag	200	5500	
6 chan	6,ref	6	+/-	833	stag	200	5500	ref
	ord	6	+/-	833	a-b	200	5500	ref
	sth	6	+/-	833	stag	400	5500	ref
	pol	6	-/ +	833	stag	200	5500	ref
	mg	6	+/-	833	stag	200	9500	ref
	s1o	6	+/-	250	stag	200	5500	ref
	fst	6	+/-	2525	stag	200	5500	ref
	e1s	6	+/-	833	stag	200	5500	alt
n-of-m	ș1o	6/18	+/-	250	a-b	200	5500	
	fst	6/18	+/-	833	a-b	200	5500	
	mgslo	6/18	+/-	250	a-b	200	9500	
	mgfst	6/18	+/-	833	a-b	200	9500	

Key to Processor Labels:

21, 11, 8, 4, 6ref: 21, 11, 8, 4, and 6 channel, reference parameters

6els: 6 channel, alternate electrode choice

6ord: 6 channel, apex-to-base stimulation order

6sth: 6 channel, 400 Hz smoothing cutoff

6pol: 6 channel, reversed polarity

6rng: 6 channel, extended freq range

6fst: 6 channel, fast rate (2525 pps)

6slo: 6 channel, slow rate (250 pps)

nmfst: n-of-m (6-of-18) channel, fast rate (833 pps)

nmslo: n-of-m (6-of-18) channel, slow rate (250 pps)

nmrngfst: n-of-m (6-of-18) channel, extended freq range, fast rate

nmrngslo: n-of-m (6-of-18) channel, extended freq range, slow rate

[When 6 channel and n-of-m channel processors are grouped separately and no confusion will result, the "6" and "nm" label prefixes may be omitted.]

Tables II through VI summarize contemporaneous 24 or 16 consonant identification data from subjects NP1 through NP5 for the sixteen protocol processors and the monopolar version of the clinical SPEAK processor each had used daily for approximately one and a half years. [Not all of the subjects have high enough levels of performance to justify use of the 24 consonant tests. 16 consonant tests have been used with three of the first five subjects – NP3, NP4 and

NP5.] Results for tests using male and female talkers are listed separately, in descending order of overall information transmission in each case. For subject NP1 these results (in Table II) are the same as in Table IV of QPR1, except that results for corrected versions of two of the processors, **nmfst** and **nmslo**, were obtained after submission of QPR1. To facilitate comparisons, Tables II through VI have separate columns for (1) single parameter variations among 6 channel CIS processors, (2) speed and frequency range variations among n-of-m processors, (3) comparisons among otherwise similar processors differing in the number of CIS channels, and (4) the monopolar clinical processor in everyday use by each subject. Experience with all tested processors except the clinical SPEAK processor was limited to a brief period of informal conversation and loudness adjustment prior to testing.

<u>Appendix 1</u> to this report includes both percent overall information transmission and percent correct scores with standard deviations of the mean for each subject and each protocol processor. Each percent correct or IT value is based on presentation of a minimum of 10 randomized blocks of the 16 or 24 consonant tokens, sound alone, from video disc recordings. Multiple exemplars of each token were used, and there was no feedback as to correct or incorrect responses. Overall information transmission is generally a more meaningful indicator of processor performance than percent correct score, though the two measures are highly correlated [see QPR 4 for Project N01-DC-9-2401, 1990 and the scatter plots included as <u>Appendix 2</u> to this report]. While overall IT is not a linear function of percent correct, and certainly not every step in this ranking represents a significant difference, one to two percent differences in IT often do correspond to significant differences in percent correct scores.

Specific evidence of test - retest reliability for these overall IT scores is included for subject NP4 in Table V. For that subject, apparent non-simultaneous channel interactions forced us to reduce the minimum stimulation levels in order to fit 11 and 21 channel CIS processors without the perception of background noise. These arbitrary minimum levels were set 3 dB below the single channel thresholds normally used, and processors with these altered levels are labeled with asterisks in Table V and Appendix 1. Otherwise identical 4, 6, and 8 channel processors with both sets of minimum stimulation levels were compared to assess the extent of impact of the interaction effects. While a significant difference was noted between the two 8 channel processors for the male voice, evaluations of the two versions of the 4 and 6 channel processors – on different days – yielded overall information transmission scores that were identical for the male voice consonants and differed by only one percent for the female voice.

Table II.

		24 Cons	onants Male			24 Conson	ants Female	
Overall % IT	6-chan	n-of-m	n-chan	clin	6-chan	n-of-m	n-chan	clin
84	mg				mg			
83		fst,slo	11,21					
82						fst	11,21	
81					e1s		6'	
80		rngfst	4			mgfst	8	
79	ord,els	-	6',8			<u>s</u> lo		
78	pol				ord			
77	ref		6		pol	mgslo		
76	fst				•	Ü		
75				SPEAK	ref		6	
74	sth	mgslo			fst			
73		3			sth,slo		4	SPEAK
72					,			
71	slo							

Protocol Processor Rankings: Subject NP1

Table III.

		24 Consonants Male			24 Consonants Female			
Overall % IT	6-chan	n-of-m	n-chan	clin	6-chan	n-of-m	n-chan	cl i n
93	sth							
92	mg							
91	ref		6					
90								
89	ord,pol	rngfst						
88	fst	-	11	SPEAK				
87	e1s	fst	6',8					SPEAK
86			4			mgfst		
85	s1o				mg	_		
84					ref		6	
83					sth			
82					fst	fst		
81		s1o			slo,ord		11	
80								
79								
78					pol			
77						slo		
76							8	
75							4	
74								
73					e1s		6'	

Table IV.

Protocol Processor Rankings: Subject NP3

		16 Consonants Male			16 Consonants Female			
Overall % IT	6-chan	n-of-m	n-chan	clin	6-chan	n-of-m	n-chan	clin
87 86 85						rngfst		
84 83 82							8	
81		fst			mg	fst		
80 79			4		sth fst		11	
78	mg		8,11		ref,ord		4,6	
77	fst,ord,sth		0.4					
76	slo		21	ODE AT	pol,slo			
75 74	ref	s1o	6	SPEAK			21	
74 73	els,pol	en afat	6'					SPEAK
72	ers, por	rngfst	O		els		6'	DLEWY

Table V.

Protocol Processor Rankings: Subject NP4

		16 Consonants Male			16 Consonants Female			
Overall % IT	6-chan	n-of-m	n-chan	cļin	6-chan	n-of-m	n-chan	clin
89			8					
88	pol		11*					
87	fst,mg		21*			mgfst		
86	els,sth		4,4*,6'			_		
85	ref,ord		6,6*,8*			slo,mgslo		
84						ŭ		
83		fst,mgfst		SPEAK				
82	slo	slo						
81					mg	fst		
80								
79		mgslo						
78								
77								SPEAK
76								
75					e1s		6'	
74								
73					fst			
72					ref,ord		6	
71							4,6*,21*	
70					pol,sth		4*,8*	
69								
68							8,11*	
67					slo			

Table VI.

		16 Consonants Male				16 Consonants Female				
Overall % IT	6-chan	n-of-m	n-chan	clin	6-chan	n-of-m	n-chan	clin		
96	pol									
95										
94										
93										
92	ord									
91			4.61							
90	sth,els		4,6'							
89										
88 97	mg -1-									
87 86	slo									
86 85	ref	mgfst	6							
84	161	mgslo	0			mgslo				
83	fst	mgsio				mgsio				
82	151	fst								
81	els'	slo	6",11							
80	015	510	0 ,11			mgfst				
79						****				
78			8,21							
77			-,		mg					
76				SPEAK	6					
75										
74					pol					
73					•	fst				
72					slo					
71										
70					sth					
69					els		6"			
68					ref,ord,els'		6,6"			
67					fst	slo	4			
66								SPEAK		
65							8,11			
64										
63							21			

While the protocol study is not yet complete, and important comparisons lack statistical significance in the absence of data from the final two subjects, some strong patterns have emerged already. Such findings need not await the additional year and a half required to complete the study.

All five subjects thus far enjoy good to excellent performance in terms of the cochlear implant population as a whole. [This is indicated by identification scores for CUNY sentences in quiet and at +10 dB with respect to multitalker speech babble and for CNC and NU6 monosyllabic words. The results of these open set tests for a subset of the studied processors will be discussed in a subsequent QPR.] Nevertheless, Tables II through VI reflect substantial variation among these five subjects: in median level of performance with the protocol processors [from 77% overall IT for 16 consonants by subject NP3 to 86% overall IT for 24 consonants by subject NP2], in range of performance variation across the protocol processors [from a range of 13% in overall IT for subject NP1 to a range of 33% for subject NP5], in performance differences between male and female voices [*e.g.*, little difference for subject NP1 to relatively large differences for subject NP5], and in ranking of relative performance among the protocol processors. In the remainder of this report we shall discuss some patterns emerging from these various data, generally following the order of the four comparison groups represented by the columns in Tables II through VI.

1. Single Parameter Variations with respect to a Reference 6-channel CIS Processor

In Table VII we have collected the changes in overall information transmission associated with changes in each of six CIS processor parameters. The reference processor for each subject is the **6ref** processor. Data for male and female voice medial consonant tokens are presented separately for each of the five subjects studied thus far. A positive change indicates that the parametric variation produced an improvement in performance with respect to the reference processor.

Table VII. Changes in Overall IT with Changes in Single CIS Parameters

	NP1	NP1	NP2	NP2	NP3	NP3	NP4	NP4	NP5	NP5
	m	f	m	f	m	f	m	f	m	f
rng	+7	+9	+1	+1	+3	+3	+2	+9	+3	+9
sth	-3	-2	+2	-1	+2	+2	+1	-2	+5	+2
ord	+2	+3	-2	-3	+2	0	0	0	+7	0
fst	-1	-1	-3	-2	+2	+1	+2	+1	-2	-1
pol	+1	+2	-2	-6	-2	-2	+3	-2	+11	+6
slo	-6	-2	-6	-3	+1	-2	-3	-5	+2	+4

In terms of *average* change in overall information transmission across these ten conditions, the six parametric changes rank as follows: \mathbf{rng} (+4.7), \mathbf{ord} and \mathbf{pol} (+0.9), \mathbf{sth} (+0.6), \mathbf{fst} (-0.4), and \mathbf{slo} (-2.1), suggesting that the \mathbf{rng} change is likely to produce a significant improvement in processor performance, while the \mathbf{slo} option is likely to reduce performance.

If we assume that two percent approximates the minimum significant difference in overall information transmission for these comparisons, we obtain the results shown in Table VIII for the proportion of cases in which each parametric manipulation produced a significant change:

Table VIII. Prevalence of Changes in Performance due to Parametric Manipulations

Manipulation	Improvement	Decrement
rng	80%	0
sth	50%	30%
ord	40%	20%
fst	20%	30%
pol	40%	50%
slo	20%	70%

Thus, extending the overall frequency range analyzed by a six channel CIS processor (from 350 - 3500 Hz to 350 - 9500 Hz) produced an improvement in performance in 8 of the 10 cases. On the other hand, reducing the pulse rate (from 833 pps to 250 pps on each channel) had a 70 % likelihood of reducing processor performance. [We note that even if the criterion for a significant difference were increased to 3% in overall IT, significant improvements would be found for **rng** in 70% of our cases and significant decreases in performance for **slo** in 60% of the cases.]

In terms of seeking an optimal fitting under the time constraints of a clinical setting, the extended overall frequency range clearly would be one parametric setting to try. After that, these results might suggest increasing the upper frequency cutoff point for the envelope smoothing filter from 200 to 400 Hz (sth), which produced an overall average change in IT of +0.6%, and an average change of 2.6% in the 50% of cases for which a significant improvement was obtained). Both changing from staggered to apex-to-base order of stimulation (ord) and reversing the polarity of the biphasic pulses (pol) produced +0.9% changes in IT overall and a 2% or greater improvement in 40% of the cases. For ord the average improvement when a significant improvement was obtained was 3.5%, while for pol the corresponding

value [strongly influenced by the results for subject NP5] was 5.5%.

2. Variations among n-of-m Processors

Certain processors from this group have not yet been evaluated with subjects NP2 and NP3. One comparison for which the full 10 conditions are in hand is the effect of extended frequency range for the 833 pps n-of-m processors (**rngfst**vs. **fst**). For three of the subjects (NP2, NP4, and NP5) the extended frequency range version performed as well or significantly better for both male and female voices; the average improvement in those cases was 3.7%. For subject NP1 the extended range resulted in poorer performance for both male and female voices; average decrement 2.5%. For the remaining subject, NP3, extending the overall frequency range produced a marked (6%) improvement for the female voice, but an even larger (8%) decrement for the male voice.

Nine of the intended ten comparisons are available at present between the 833 pps normal frequency range n-of-m processor (**fst**) and the corresponding 250 pps version (**slo**). Use of the slower pulse rate produced a significant decrease in performance in six of the nine conditions tested; with an average decrement of 5.3% for those six. The slower rate produced a significant improvement in performance (4%) in one case of the nine.

3. Processor Performance vs. the Number of CIS Channels and Electrode Selection

The set of protocol processors designed to be as similar as possible except for number of CIS channels includes 4, 6, 8, 11, and 21 channels. That set of data is complete for the first five subjects, except for a 21 channel processor for subject NP2. As the number of channels decreases, the number of options for assignment of channels to electrodes increases. For 4 and 6 channel processors there are many potential choices.

In selecting electrodes for 4, 6, and 8 channel processors, we were guided by data from formal electrode discrimination tests in which each subject was asked to rank sequential stimuli from various pairs of electrodes in terms of perceived pitch. We also consulted dynamic range data for each electrode at the appropriate pulse rate(s) and pulse duration. In order to obtain some indication of the sensitivity of processor performance to the exact choice of electrodes, we tested at least two different sets of 6 electrodes for each subject. (These are identified as 6 and 6' in the "n-chan" columns of Tables II through VI. They are the same processors identified as **ref** and **els** in the "6-chan" columns. A third case was tested for subject NP5, labeled 6" and **els**', respectively.) In selecting sets of 11 electrodes, the choice between all even and all odd-numbered electrodes was made on the basis of which involved fewer limitations in terms of available dynamic range and channel discrimination data. Selection of the single electrode to be omitted from the 21 channel CIS processors was based on similar criteria. With the exception of one alternative set of 6 electrodes for subject NP3, every processor's channels spanned at least 15 electrodes, with most spanning 19 or more. The choices we have made for each of the first five subjects in this study are tabulated in Appendix 3 to this report. The electrode numbering system used in this study is apex-to-base, with electrode number one assigned to the apicalmost electrode in the array.

Only for subject NP1 did we observe any performance advantage in using more than eight CIS channels.

Figures 1 through 5 plot overall percent information transmission scores for male and female voice medial consonant data as a function of number of CIS channels. There is a separate plot for each of the five subjects. Notice that the differences in overall IT scores associated with different choices of 6-electrode sets are typically comparable to the differences associated with varying the number of CIS channels between 4 and 21. Those processor pairs corresponding to statistically significant differences in performance are identified in <u>Appendix 4</u> of this report (based on ANOVA analyses of the block percent correct scores and *post hoc* comparisons among the means, as indicated by a significant ANOVA result for each of the five subjects).

Based on the results of these comparisons, we evaluated some additional processors with subjects NP4 and NP5: otherwise similar CIS processors with 1, 2, and 3 channels. In each case the selected electrodes were subsets of those used in the subject's 4-channel processor. More than one of those electrodes were evaluated in single channel processors for both subjects, to gauge performance sensitivity to electrode choice vis a vis number of channels.

Taken together, these results (for monopolar stimulation via the Nucleus 22 electrode array) indicate that (1) additional CIS channels become much less likely to produce significant improvements in processor performance once the number of channels exceeds four, (2) different choices of electrodes can produce significant differences in performance for CIS

processors with as many as 6 channels, and (3) the principal potential benefit of additional implanted electrodes (beyond 4 to 6) may be the availability of alternative sites of stimulation rather than the availability of additional channels.

Figure 1.



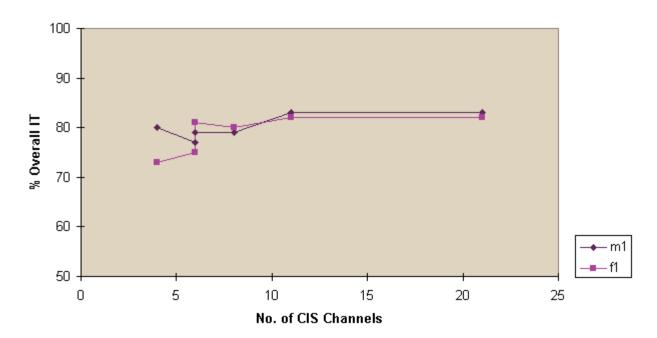


Figure 2.

Subject NP2

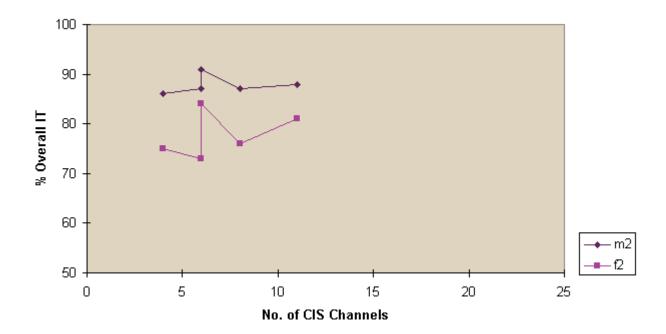


Figure 3.

Subject NP3

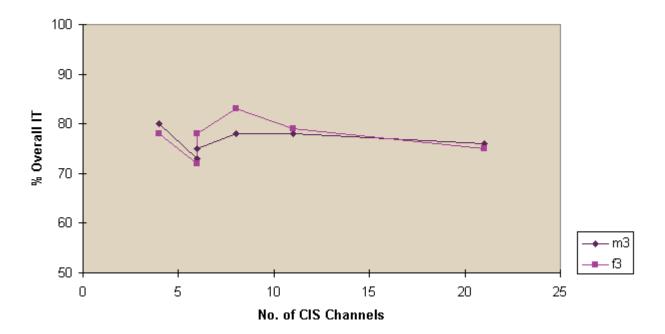


Figure 4.

Subject NP4

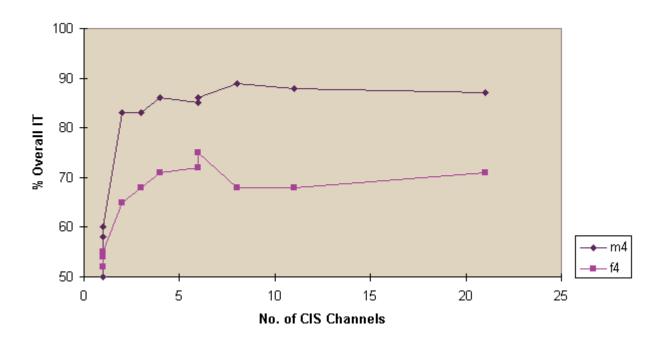
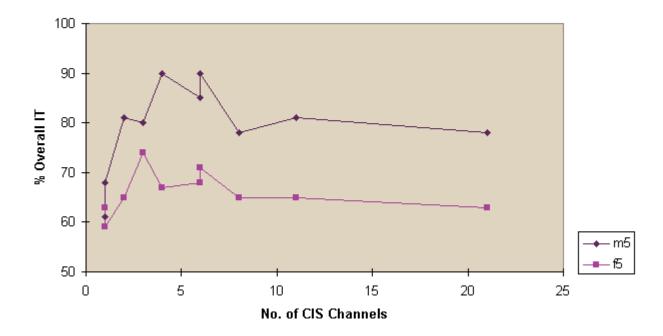


Figure 5.



4. Relative Performance of CIS, n-of-m, and monopolar SPEAK Processors

For two of these five subjects a 6 channel CIS processor performs significantly better than the best n-of-m processor tested (the **6rng** was better for the female voice for subject NP1 and better for the male voice for NP2). For subject NP3, n-of-m processors were at least a good as any of the protocol CIS processors. For each of the remaining two subjects, CIS processors tended to be better for male voice while an n-of-m was better for female. Among current high speed pulsatile processors, then, both CIS and n-of-m offer significant benefits to some patients.

A monopolar version of the clinical **SPEAK** processor had been used on an everyday basis for an extended period by each of these five subjects. Its performance was compared with the protocol CIS and n-of-m processors with which the subjects had had only limited experience in the laboratory. It is hoped that wearable hardware can be supplied to one or more of the subjects of this study to allow extended daily use of a CIS and/or n-of-m processor.

Thus far in our study, one or more of the protocol CIS or n-of-m processors has performed significantly better than the chronic use monopolar **SPEAK** processor in nine of ten conditions [NP2's SPEAK processor supported her best performance with female voice]. A comparison of **6rng** and **nmrngfst** with **SPEAK**, for instance, yields the differences in percent overall information transmission shown in Table IX [a positive difference corresponds to a higher score for **6rng** or **nmrngfst**]. The average difference in overall IT is +6.4% for **6rng** and +5.7% for **nmrngfst**.

Table IX. Differences in Overall IT vs. SPEAK

	NP1m	NP1f	NP2m	NP2f	NP3m	NP3f	NP4m	NP4f	NP5m	NP5f
6rng	+9	+11	+4	-2	+3	+8	+4	+4	+12	+11
nmrngfst	+5	+7	+1	-1	-2	+14	0	+10	+9	+14

We have conducted a one way control ANOVA analysis comparing the relative overall IT performance of four protocol processors: **6ref**, **6rng**, **nmrngfst**, and **SPEAK**. The analysis is based on differences in percent overall IT among the four processors for each of the five subjects. The ANOVA indicates significant differences among the processors (p < 0.01). *Post hoc* comparison of the means indicates that performance is significantly better with **6rng** than with **SPEAK**, significantly better with **6rng** than with **6ref**. These results are consistent with there being benefits both to the faster 833 pps rate of **6ref**, **6rng**, and **nmrngfst**, and to the extended overall frequency ranges of **6rng**, **nmrngfst**, and **SPEAK**.

Appendix 1.

Summaries of Medial Consonant Identification Results for Protocol Processors

Summary of results for protocol processors: Subject NP1							
			s: Female Talker % Overall IT				
6mg	74	78±2	84				
nmfst	77	74±1	82				
11	79	74±2	82				
21	84	73±2	82				
6els	10a	74±2	81				
8	81	71±3	80				
nmrngfst	90	67±2	80				
nmslo	78	67±2	79				
бord	72	68±2	78				
6pol	73	68±3	77				
nmrngslo	91	68±3	77				
6ref	71	60±3	75				
6fst	76	64±3	74				
6sth	27a	67±3	73				
4	75	63±2	73				
SPEAK	17	61±2	73				
6s1o	80	52±2	73				

Identificatio	n of 24 Medi	ial Consonant	s: Male Talker
Category	y, Processor	% Correct	% Overall IT
	•		
6mg	74	68±2	84
nmfst	77	67±3	83
21	84	67±3	83
11	79	65±1	83
nmslo	78	63±2	83
nmrngfst	90	66±2	80
4	75	64±3	80
6ord	72	68±3	79
8	81	62±2	79
6els	10a	59±3	79
6pol	73	64±2	78
6ref	71	61±2	77
6fst	76	61±2	76
SPEAK	17	60±2	75
6sth	27a	62±2	74
nmṛngslo	91	51±3	74
6s1o	80	52±3	71

Identification of 24 Medial Consonants: Female Talker Category, Processor % Correct % Overall IT

	,		
SPEAK	13	82±1	87
nmrngfst	98a	81±2	86
6mg	90	75±2	85
6ref	86a	75±2	84
6sth	92	72±3	83
6fst	93a	72±2	82
nmfst	97	69±2	82
11	87a	71±2	81
6s1o	95	71±2	81
6ord	91	70±2	81
бро1	94	67±3	78
nmslo	96	65±2	77
8	88	59±3	76
4	89	62±2	75
6els	85	57±3	73

Identification of 24 Med	ial Consonants	: Male Talker
Category Processor	% Correct	% Overall IT

6sth	92	91±2	93
6mg	90	89±2	92
6ref	86a	88±2	91
6ord	91	85±3	89
6pol	94	85±3	89
nmrngfst	98a	84±2	89
6fst	93a	83±2	88
SPEAK	13	83±2	88
11	87a	80±2	88
nmfst	97	81±3	87
6els	85	81±3	87
8	88	77±2	87
4	89	79±3	86
6slo	95	81±2	85
nmslo	96	73±2	81

Summary of results for protocol processors: Subject NP3

Identificatio	n of 16 Medi	al Consonants:	Female Talker
Categor	y, Processor	% Correct	% Overall IT
nmrngfst	47	87±2	87
8	35	69 ± 4	83
6mg	39	78±3	81
nmfst	44	75±2	81
6sth	31d	71±3	80
11	40	71±3	79
6fst	37	65±2	79
6ref	25d	69±2	78
6ord	32d	69±3	78
4	36	68±4	78
бро 1	38	69±3	76
6s1o	41	61±3	76
21	42	65±3	75
SPEAK	38	64±2	73
6els	33	63±3	72

		al Consonants: % Correct	Male Talker % Overall IT
nmfsţ	44	79±4	81
4	36	76±4	80
11	40	72±4	78
6mg	39	69±3	78
8	35	60±4	78
6fst	37	73±4	77
6sth	31d	72 ± 4	77
6ord	32d	68±3	77
21	42	69±3	76
6s1o	41	67±3	76
6ref	25 d	68±5	75
nmslo	45	68±5	75
SPEAK	38	67±3	75
nmrngfst	47	63±4	73
6pol	38	60±3	73
6els	33	57±4	73

Summary of results for protocol processors: Subject NP4

Identification	of 16 Medial	Consonants:	Female Talker
Category,	Processor	% Correct	% Overall IT
nmrngfst	73a	74±3	87
nmrngslo	79	83 ± 4	85
nmslo	83	79±2	85
6mg	71	75±2	81
nmfst	82	72 ± 4	81
SPEAK	29	69±2	77
6els	61	63±2	75
6fst	62	65±3	73
6ord	67	66±3	72
6ref	60	59±3	72
4	69	63±2	71
6*	77	61±4	71
21*	81	58±4	71
6pol	63	60 ± 4	70
6sth	68	58 ± 4	70
8*	75	56±3	70
4*	78	55±5	70
8	64	56±3	68
11*	74	52 ± 4	68
6s1o	66	59±5	67

Summary of results for protocol processors: Subject NP4

Identification of 16 Medial Consonants:		Male Talker	
Categor	y, Processor	% Correct	% Overall IT
8	64	88±2	89
11*	74	86±4	88
бро1	63	85±3	88
6mg	71	84±3	87
6fst	62	83±3	87
21*	81	81±3	87
4	69	84±3	86
4*	78	84±3	86
6els	61	84±3	86
6sth	68	77±2	86
8*	75	83±2	85
6ref	60	81±3	85
6 *	77	81±3	85
6ord	67	80±3	85
SPEAK	29	77±2	83
nmfst	82	76±2	83
nmrngfst	73a	76±3	83
6s1o	66	79±3	82
nmslo	83	78±4	82
nmrngslo	79	71±3	79

^{*} Thresholds reduced by 3 dB: see p. 8 of main text.

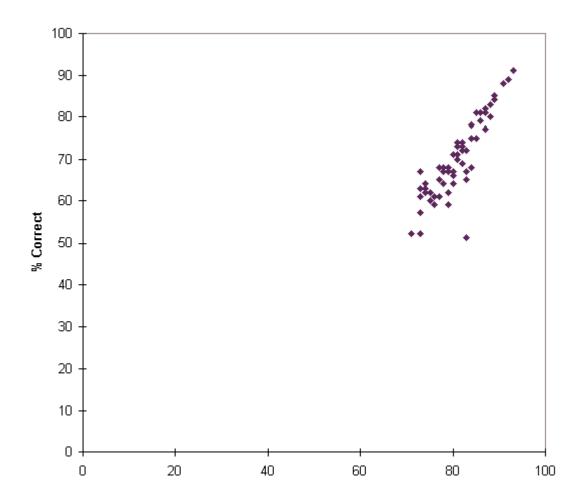
Identification	of 16 Medial	Consonants:	Female Talker
Category,	Processor	% Correct	% Overall IT
nmrngslo	6a	78±2	84
nmrngfst	9a	73±2	80
6mg	11	71±2	77
бро1	31	60±3	74
nmfst	32	60±3	73
6s1o	4	58±3	72
6sth	30	55±3	70
6els	1a	53±3	69
6ord	29	54±3	68
6ref	5a	53±3	68
6els'	2a	47±2	68
nmslo	12a	51±3	67
6fst 3	3	47±2	67
4	25	46±3	67
SPEAK	10	53 ± 4	66
11	27	44±3	65
8	26	36±2	65
21	28	44±3	63

Identification	of 16 Medial	l Consonants:	Male Talker
Category	, Processor	% Correct	% Overall IT
6pol	31	96±1	96
бord	29	91±1	92
6sth	30	89±1	90
6els	la	89±2	90
4	25	88±2	90
6mg	11	88±3	88
6s1o	4	86±2	87
6ref	5a	83±2	85
nmrngfst	9a	75±3	85
nmrngslo	6a	77±3	84
6fst	33	83±2	83
nmfst	32	78±2	82
11	27	76±3	81
6els'	2a	73±2	81
nmslo	12a	73±3	81
21	28	74±2	78
8	26	71±3	78
SPEAK	10	66±3	76

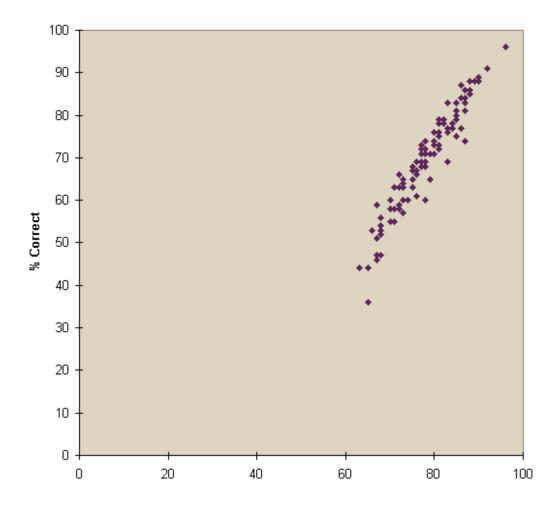
Appendix 2.

Relationship between Percent Correct and Overall Information Transmission Scores

24 Consonant Data: NP1-NP2

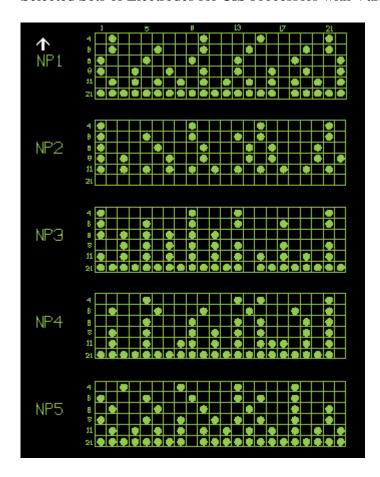


16 Consonant Data: NP3-NP5



Appendix 3.

Selected Sets of Electrodes for CIS Processors with Various Numbers of Channels



Appendix 4.

Statistically Significant Differences in CIS Performance vs. Number of Channels

for subject:	processor:	supports significantly better percent correct scores for consonant identification than processor(s):	ANOVA p:
NP1	6' 8 11 21	6 6 4,6 4,6	< 0.01
NP2	6 11	4,6',8 6',8	< 0.001
NP3	4 6 11 21	6' 6' 6' 6'	< 0.02
NP4	1 2 3 4 6 6' 8 11 21	1" 1,1',1" 1,1',1" 1,1',1" 1,1',1" 1,1',1" 1,1',1" 1,1',1" 1,1',1"	<0.0001
NP5	2 3 4 6 6' 6" 8 11 21	1,1' 1,1',8 1,1',8 1,1',8 1,1',2,6'',8,11,21 1,1' 1	< 0.0001

III. Plans for the Next Quarter

Our plans for the next quarter include the following:

- 1. A site visit for the project by Drs. Terry Hambrecht and William Heetderks (July 23).
- 2. Presentation of project results in two invited lectures at the *Third European Symposium on Paediatric Cochlear Implantation*, in Hannover, Germany (June 6-8).
- 3. Speech reception and evoked potential studies with Nucleus percutaneous subjects NP5 (weeks beginning on May 13 and May 20), NP4 (weeks beginning on June 3 and June 10), and NP2 (July 8-10).
- 4. Recording of tokens for new speech tests.
- 5. Completion of new current sources, for use in studies to evaluate very high rates of stimulation (*e.g.*, 10000 pulses/s on each channel) in multichannel CIS processors.
- 6. Continued development of the Evoked Potentials Laboratory, including incorporation of a 22-bit A/D converter (in part to allow recording of both stimulus pulse artifact and evoked potentials in the linear range of the recording

system).

- 7. Continued development of a new type of compression function for use in CIS processors, designed to mimic principal features of the noninstantaneous compression found in normal hearing at the interface between sensory hair cells and adjacent neurons.
- 8. Speech reception and evoked potential studies with Ineraid subject SR2 (July 22-26).
- 9. Possible continued studies with our local patient having standard Nucleus implants on both sides.
- 10. Possible application of one or more of the Geneva/MEEI/RTI portable processors in continuing studies to evaluate possible learning effects with extended use of CIS processors.
- 11. Continued analysis of speech reception and evoked potential data from prior studies.
- 12. Continued preparation of manuscripts for publication.

IV. Acknowledgments

We gratefully acknowledge the support by Cochlear Corporation of the device, surgical, and audiological costs of the 22 electrode percutaneous study described in this report, and of the travel and subsistence expenses of the subjects while participating in our studies.

We thank subjects NP1, NP2, NP3, NP4, NP5 for their participation in those studies, and subjects SR15 and SR9 for their participation in other studies conducted this quarter.

Appendix A. Summary of Reporting Activity for this Quarter

Reporting activity for the last quarter, covering the period from February 1 to April 30, 1996, included the following presentations:

Wilson BS: Strategies for representing speech information with cochlear implants. Invited lecture, *Sixth Symposium on Cochlear Implants in Children*, Miami Beach, FL, February 2-3, 1996.

Finley CC, Wilson BS: Spatial distribution of stimulus field and intracochlear evoked potentials as recorded from unstimulated electrodes of implanted cochlear prostheses. *Nineteenth Midwinter Research Meeting, Association for Research in Otolaryngology*, St. Petersburg Beach, FL, February 4-8, 1996.

Lawson DT: Cochlear implant research at Research Triangle Institute and Duke University Medical Center. Invited lecture, *Annual Meeting of the American Association for Audiology*, Salt Lake City, UT, April 20-21, 1996.